



New Jersey Requirements for Inclusion of Decisionally Impaired Subjects (New Jersey Statute 26:14-5)

Sponsor _____

Sponsor Protocol No. _____

New Jersey Statute 26:14-5, also called the Access to Medical Research Act (included at the end of this form), requires an investigator to provide additional protections for subjects who are unable to consent for themselves. The following questions will assist the Board in determining whether your site will provide the required safeguards.

1.	<p>Prior to enrolling a subject in medical research, an attending physician <u>with no connection to the research</u> must make a determination with reasonable certainty that the potential subject is unable to voluntarily reason, understand, and appreciate the nature and consequences of the proposed health research interventions, including the subject's diagnosis and prognosis, the burdens, benefits, and risks of, and alternatives to, any such research, and is unable to reach an informed decision. The determination must also describe the likelihood that the patient will regain decision-making capacity.</p> <p>Please describe the plans you have in place to comply with this requirement, including the identification of the attending physician(s) and the plans for documentation.</p>
1a.	<p>When the attending physician determines that the potential subject is unable to consent, the determination must be given to the subject and to at least one person in the list of surrogates below (See Question 7). Please confirm that you will comply with this requirement.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
2.	<p>A patient must not be enrolled in medical research if he/she objects to the determination of incapacity made by the attending physician unless a court with jurisdiction adjudicates the patient is incompetent. Please confirm that you will comply with this requirement.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
3.	<p>A patient must not be enrolled in medical research if he/she objects to participation in the proposed research. Please confirm that you will comply with this requirement.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
4.	<p>In addition to providing a copy of the written consent document to the legally authorized representative (LAR), the principal investigator or a designated staff member must verbally go over each element included in the written consent form with the LAR. This verbal information must be provided in non-technical terms and in a language in which the LAR is fluent. Please confirm that you will comply with this requirement.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

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5.	New Jersey law requires that an individual who can attest that the requirements for informed consent to the medical research have been satisfied must sign and date the consent form. This individual cannot be the subject, the subject's guardian or representative, or the researcher. Please describe how you will comply with this requirement, including a description of the plans you have in place to identify this individual.
6.	<p>The following conditions apply when surrogate consent is given:</p> <ul style="list-style-type: none">• The person providing consent must have reasonable knowledge of the subject.• Decisions must be made in accordance with the subject's health care instructions or wishes or in accordance with the LAR's best estimation of what the subject would have chosen if the subject were capable.• When two or more persons in the same order of priority are available to consent, the dissent of any of these persons invalidates consent.• Consent from a person in a lower priority does not override the refusal to consent by a person who is a higher priority.• The LAR must not be paid for providing consent.• If the subject previously executed an advanced directive and participation in the research would conflict with the provisions of the advanced directive, then the subject must not be included in the research <p>Please confirm that you will comply with these requirements.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

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7.	<p>The following individuals may provide consent for the subjects to participate in the research in descending order of priority:</p> <ul style="list-style-type: none">• The guardian, if the guardian has authority to make health care decisions for the subject• The health care representative of the subject named in an advance directive for health care• The spouse or civil union partner of the subject• The domestic partner of the subject [see Section 3 of P.L.2003, 2c.246 (C.26:8A-3)]• An adult son or daughter of the subject• A custodial parent of the subject• An adult brother or sister of the subject• An adult grandchild of the subject• An available adult relative with the closest degree of kinship to the subject. <p>When there are two or more available persons who may provide consent for the subject and who are in the same order of priority, if any of those persons expresses dissent as to the participation of the person in the research, consent is not given and the subject cannot participate.</p> <p>When there are two or more available persons who are in different orders of priority, refusal to consent by a person who is a higher priority LAR shall not be superseded by the consent of a person who is a lower priority LAR.</p> <p>Please confirm that you will obtain surrogate consent from individuals authorized to consent as outlined above.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
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Name of Principal Investigator

By signing this statement, I acknowledge that I received a copy of the applicable statute and will comply with the requirements.

Signature of Principal Investigator

Date

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New Jersey Statutes Annotated
Title 26. Health and Vital Statistics Chapter 14. Access to Medical Research

26:14-1. Short title; Access to Medical Research Act

This act shall be known and may be cited as the "Access to Medical Research Act."

26:14-2. Legislative findings and declarations

The Legislature finds and declares that:

- a. Access to the latest treatments developed through medical research is essential to provide the citizens of this State with the best health care services available;
- b. The advancement of the scientific understanding of health, behavior, disease, and treatment is a vital endeavor for the benefit of humankind;
- c. Ground-breaking research is currently being conducted in New Jersey by a wide variety of health professionals in the diagnosis, intervention and monitoring of all aspects of health and medical care; and
- d. All research involving human participants, regardless of the setting, must be conducted with profound respect for their health, safety, and dignity.

26:14-3. Scope of application of act for certain persons with enumerated medical conditions

The provisions of this act shall apply to medical research on persons with cognitive impairments, lack of capacity, or serious physical or behavioral conditions and life-threatening diseases that is approved and monitored by an institutional review board that holds an assurance with the United States Department of Health and Human Services and either:

- a. offers the prospect of direct benefit to the individual subject, provided that the institutional review board has determined that the risk is justified by the anticipated benefits to the subject and that the relation of the anticipated benefit to the risk is at least as favorable to the subject as that presented by available alternative approaches. If a currently recognized treatment exists, the subject or his guardian or authorized representative, as applicable, shall be presented with the choice of the recognized treatment and the research protocol; or
- b. does not offer the prospect of direct benefit to the individual subject, provided that the institutional review board has determined that it: (1) is likely to yield generalizable knowledge about the subject's disorder or condition; (2) by its very nature cannot be conducted without the participation of decisionally incapacitated persons as subjects; and (3) involves no more than a minor increase over minimal risk.

For purposes of this section, "minimal risk" means that the probability and magnitude of harm or discomfort anticipated in the research are not greater than those ordinarily encountered in daily life or during the performance of routine physical or psychological exams or tests.

26:14-4. Informed consent; conditions required to be met; consent form

As used in this act, "informed consent" means the authorization given pursuant to this act to participate in medical research performed on a subject after each of the following conditions has

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been satisfied:

a. The subject or his guardian, or authorized representative as provided in section 5 of this act, as applicable, is informed both verbally and within the written consent form, in nontechnical terms and in a language in which the subject or the subject's guardian or authorized representative is fluent, of the following facts that include:

(1) an explanation of the procedures to be followed in the research and any drugs or devices to be utilized, including the purposes of the procedures, drugs, or devices and, when applicable, the use of placebo controls and the process by which persons will be assigned to control groups;

(2) a description of any attendant discomfort and reasonably foreseeable risks to the subject;

(3) an explanation of any potential direct benefits to the subject. If no such direct benefits are reasonably expected, that fact should be made clear;

(4) a disclosure of any appropriate alternative procedures, drugs or devices that might be advantageous to the subject, and their relative risks and benefits;

(5) an estimate of the expected duration of the research procedure or study;

(6) an offer to answer any inquiries concerning the research or the procedures involved and an explanation of whom to contact for answers to pertinent questions about the research and the research subject's rights, and whom to contact in the event of a research-related injury;

(7) an instruction to the subject or his guardian or authorized representative, as applicable, that he is free to withdraw his prior consent to the medical experiment and discontinue participation in the research at any time, without prejudice to the subject;

(8) the name, institutional affiliation, if any, and address of the person or persons actually performing and primarily responsible for the conduct of the research;

(9) the name of the sponsor or funding source, if any, or manufacturer if the research involves a drug or device, and the organization, if any, under whose general aegis the research is being conducted;

(10) the name, address, and phone number of an impartial third party, not associated with the research, to whom the subject may address complaints about the research and the contact information for the institutional review board connected with the research; and

(11) the material financial stake or interest, if any, that the investigator or research institution has in the research. For purposes of this section, "material" means \$10,000 or more in securities or other assets valued at the date of disclosure, or in relevant cumulative salary or other income, regardless of when it is earned or expected to be earned or as otherwise determined by the research institution.

b. The subject or his guardian or authorized representative, as applicable, has signed and dated a written consent form.

c. The written consent form is signed and dated by a person, who is not the subject, his guardian or authorized representative, or the researcher, and who can attest that the requirements for informed consent to the medical research have been satisfied.

d. Consent is given voluntarily and freely by the subject or his guardian or authorized representative without the intervention of force, fraud, deceit, duress, coercion or undue influence.

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26:14-5. Consent by persons unable to give consent; surrogate consent

a. For purposes of obtaining informed consent required for medical research, if a person who may be the subject of the research is unable to consent and does not express dissent or resistance to participation, surrogate informed consent may be obtained from an authorized representative with reasonable knowledge of the subject, who shall include any of the following persons, in the following descending order of priority:

- (1) the guardian of the subject who has the authority to make health care decisions for the subject;
- (2) the health care representative of the subject pursuant to an advance directive for health care;
- (3) the spouse or civil union partner, as applicable, of the subject;
- (4) the domestic partner, as defined in section 3 of P.L.2003, c. 246 (C.26:8A-3), of the subject;
- (5) an adult son or daughter of the subject;
- (6) a custodial parent of the subject;
- (7) an adult brother or sister of the subject;
- (8) an adult grandchild of the subject;
- (9) an available adult relative with the closest degree of kinship to the subject.

b. For purposes of this section, inability to consent shall mean that a subject is unable to consent if he is unable to voluntarily reason, understand, and appreciate the nature and consequences of proposed health research interventions, including the subject's diagnosis and prognosis, the burdens, benefits, and risks of, and alternatives to, any such research, and to reach an informed decision.

All adults are presumed to have the ability to consent unless determined otherwise pursuant to this section or other provisions of State law.

A determination that a subject is unable to consent, as well as the extent of his incapacity and the likelihood that he will regain decision-making capacity, shall be made by an attending physician with no connection to the proposed research and shall be made to a reasonable degree of medical certainty.

A determination of incapacity shall promptly be given to the subject and to at least one person at the highest level reasonably available on the list of surrogates contained in subsection a. of this section.

Notwithstanding a determination of incapacity made pursuant to this section, a subject's objection to a determination of incapacity or objection to the proposed research intervention shall be binding, unless a court of competent jurisdiction determines that the subject lacks decision-making capacity.

c. For the purposes of this section:

- (1) when there are two or more available persons who may give surrogate informed consent and who are in the same order of priority, if any of those persons expresses dissent as to the participation of the person in the research, consent shall not be considered as having been given; and

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(2) when there are two or more available persons who are in different orders of priority, refusal to consent by a person who is a higher priority authorized representative shall not be superseded by the consent of a person who is a lower priority authorized representative.

d. An authorized representative described in this section shall make decisions about participation in accordance with the subject's individual health care instructions, if any, and other wishes, to the extent known to the authorized representative. If the authorized representative does not have knowledge of any health care instructions or other wishes of the subject, or if the instructions or wishes do not clearly indicate what decision should be made, he shall make the decision in accordance with the subject's personal values and his best estimation of what the subject would have chosen if he were capable of making a decision.

e. The requirement for obtaining informed consent for medical research pursuant to this act shall not apply to any medical research with respect to a person who is subject to a life-threatening emergency in accordance with the conditions set forth in 21 C.F.R.s.50.24.

f. The requirements for obtaining informed consent for medical research pursuant to this act may be altered or waived in accordance with the conditions set forth in 45 C.F.R.s.46.116(d).

g. A person who provides surrogate consent pursuant to this section may not receive financial compensation for providing the consent.

h. Except as otherwise provided by law, the provisions of this section shall not override an advance directive for health care executed pursuant to P.L.1991, c. 201 (C.26:2H-53 et seq.).